IRA Journal Request Form and Deposit Slip

*Requests to move funds out of an IRA account must use the IRA Distribution Form
*This form should be used for funds coming into the Retirement Account

Date: ______________________________

Delivering Account Number ______________________________

Delivering Account Name/Title ______________________________

Receiving Account Number ______________________________

Receiving Account Name/Title ______________________________

If the Receiving Account Title does not match the Delivering Account Title, the request will be considered a Third Party Transfer. Third Party Transfers must adhere to Apex's Third Party Policy.

Full Transfer of Cash and Securities? Y/N _

(Accrued Interest will also be transferred)

For Partial Transfers, complete the following: **Cash ($)**

Symbol/ CUSIP **Shares**

____

____

____

____

____

____

Reason for Transfer:
(Please Check One Box Below)

☐ Regular Contribution	Contribution for Tax Year _______(If left blank will be deposited for current year. Prior year contributions can only be made until April 15th of each year.)
☐ Employer Contribution	Contribution for Tax Year _______(If left blank will be deposited for current year.) Note: All SEP contributions are reported the year of deposit.
☐ Employee Contribution	Contribution for Tax Year ___ (If left blank will be deposited for current year.)
☐ Transfer	Transferred from an identical account type and or title.
☐ Rollover	Qualifying Direct Rollover from my Employer's Plan ex. 401(k),403(b), Profit Sharing Plan, Money

PF10167-UCON 03/05/2026

	Purchase Pension Plan, etc or 60 Day Rollover. For 60 Day Rollover, the assets and account type must match as they were distributed less than 60 days ago. Account Owner attests to the following: that the funds deposited as an Irrevocable Qualifying Rollover do not contain any amounts from a Required Minimum Distribution; that these funds are being deposited within the allowable sixty day time period; and that the Account Owner is allowed only one rollover per twelve month period. Account owner further agrees to be bound the election of this deposit as an Irrevocable Qualifying Rollover.
☐ Third Party Roth Conversion	Roth Conversion from a third party Traditional IRA to an Apex Roth IRA. Apex is only responsible for the reporting of the contribution into the Roth IRA. Account Owner attests to the following: that the assets being converted into this IRA are eligible to receive the contribution type that has been selected.

Customer Signature: ____________________

Internal Use Only

Notary Signature: ____________________

Notary Seal:

Registered Principal Approval:

Name Signature Date

Compliance Officer Approval:

Name Signature Date