



# Special Acknowledgments

## ACKNOWLEDGEMENT OF ACCOUNT AGREEMENT TERMS AND CONDITIONS

I/We acknowledge that I/we have received and understand the User/Customer Agreement and other agreements identified below that will govern my account and agree to be bound by that agreement as currently in effect and as amended from time to time and available at [www.avantgardetrading.com](http://www.avantgardetrading.com). I/We acknowledge that I/we received and acknowledged these agreements by electronically accepting and signing the new account agreement and all other agreements governing my/our account. We represent to you, Prosperum Securities LLC, doing business as Avant-Garde Trading Securities that the electronic signatures captured in your system reflect my/our signatures and agreement.

The User/Customer Agreement contains predispute arbitration clauses located in Section 8. I/We represent that all information supplied by me/us is accurate and complete and that I/we are legally entitled to rely on such information and agree to report promptly to you any material change in such information.

I/We acknowledge that I/we have received and understand the below agreements that will govern my/our account(s) and agree to be bound by that agreement as currently in effect and as amended from time to time and available at [www.avantgardetrading.com](http://www.avantgardetrading.com).

- Check and Initial below (REQUIRED):**
- I agree to the Customer Agreement/ New Account Application
  - I agree to the Exchange Data Agreement
  - I agree to the Online Services Agreement
  - I agree to the AGTS Client Relationship Summary (Form CRS)

Account Owner Initials	Joint Owner Initials

**Check and Initial below (As Applicable):**

I agree to the Options Account Agreement

I agree to the Margin Account Agreement

I agree to the IRA Simplifier Agreement



**Account Number:** \_\_\_\_\_

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<b>Account Owner's or Custodian's Name</b>	<b>Signature</b>	<b>Date</b>
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<b>Joint Account Owner's Name</b>	<b>Signature</b>	<b>Date</b>
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## Special Acknowledgments

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### ACKNOWLEDGEMENT OF RECEIPT OF OPTIONS DISCLOSURE DOCUMENT (ODD)

As required by state and federal securities regulations, any holder of a brokerage account to be approved to engage in equity options transactions must affirm they have received and read the *Characteristics and Risks of Standardized Options*.

By your signature below, the undersigned hereby acknowledge that I/we have received and read the *Characteristics and Risks of Standardized Options*.

### SPECIAL OPTIONS TRADING RISK DISCLOSURE

Options carry special risks and are not suitable for all investors. You should review the OPTIONS DISCLOSURE DOCUMENT and endeavor to understand the contents before you fund your account and engage in any option trading activity. All option trading is based on speculation, whether it is the purchase of Call and Put options outright or the naked selling of Call and Put options. SPECULATION by definition is a strategy that takes greater than average risk to achieve the potential for greater than average growth, with greater than average volatility. Speculation means that you need to assume greater levels of risk than nonspeculative investing. If you cannot assume this greater risk, or if you have a low risk tolerance, then you should not speculate in the options markets. Speculation is reserved for monies that should be classified as risk capital. If you cannot afford to lose such risk capital, then you should not speculate in the options. Using an advisor does not eliminate your obligation to understand the characteristics and special risks of options and options markets.

You acknowledge that you have read the relevant industry's disclosure documents, CHARACTERISTICS AND RISKS OF STANDARDIZED OPTIONS before investing any money in options.

**Account Number:** \_\_\_\_\_

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<b>Account Owner's or Custodian's Name</b>	<b>Signature</b>	<b>Date</b>
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<b>Joint Account Owner's Name</b>	<b>Signature</b>	<b>Date</b>
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## Special Acknowledgments

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### **INFORMED CONSENT TO ELECTRONIC DELIVERY OF ACCOUNT-RELATED DOCUMENT AGREEMENT**

You understand that by agreeing to electronic delivery, you give your informed consent to the electronic delivery of: (1) the documents necessary to establish an account with Avant-Garde Trading Securities (“AGTS”) including, but not limited to: the account agreement, disclosure of credit terms, privacy policy statements, disclosure documents, including the options disclosure document; and (2) other documents that report activity related to the accounts, including trade confirmations and monthly or quarterly account statements (“Account Documentation”).

You understand that account opening documents will be provided to you electronically through the website of AGTS ([www.avantgardetrading.com](http://www.avantgardetrading.com)) during the online account opening process. You understand that when a new confirmation or account statement is posted, you will be notified by standard internet email to your stated e-mail address of record indicating that the document is available online at a specific web page. E-mail notifications will not contain sensitive or confidential Customer information, including account numbers or the identity of the security purchased. You will have the opportunity to print/download hard copies of all Account Documentation, and you acknowledge that you have the ability to print/download hard copies for your records.

You understand that your consent to electronic delivery is effective immediately and will remain in effect unless and until either AGTS or you affirmatively revoke it. You understand that you may revoke this consent only by providing notice to AGTS in writing to 30 S. Wacker Drive, Suite 2840, Chicago, IL 60606, and that your revocation of consent is effective solely upon receipt by AGTS. If you revoke your consent, you will receive future Account Documentation in paper form sent to the address indicated for your account. You understand that you will not be charged any additional cost for the electronic delivery of these documents by AGTS, but that you may incur potential associated costs from Internet access providers and telephone companies. Electronic Account Documentation will be posted on servers accessible from AGTS’s web site.

MEMBER FINRA, SIPC

Avant-Garde Trading Securities, 30 S. Wacker Drive, Suite 2840, Chicago, IL 60606  
312-858-5748 | [agt@tradeagt.com](mailto:agt@tradeagt.com)

Other than access to the Internet via AGTS web site, you understand that there are no additional hardware or software requirements needed to access the Account Documentation that are the subject of this consent. You acknowledge that you have the systems requirements necessary to download PDF documents and that the software provided by AGTS, if any, is sufficient to permit you to print/download PDF versions of Account Documentation. You understand that AGTS will notify you in advance of any changes in the hardware and software requirements needed to access Account Documentation covered by this consent. You represent that you have carefully read and fully understand the terms of this consent. You understand that you are obligated to provide an updated e-mail address to AGTS immediately in the event that your stated e-mail address changes.

*By my/our signature below, I/We hereby acknowledge and agree to this consent.*

**Account Number:** \_\_\_\_\_

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<b>Account Owner's or Custodian's Name</b>	<b>Signature</b>	<b>Date</b>
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<b>Joint Account Owner's Name</b>	<b>Signature</b>	<b>Date</b>
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